

NVB 3001 (Re- 12/24)

UNITED STATES BANKRUPTCY COURT FOR THE DISTRICT OF NEVADA		PROOF OF INTEREST
Name of Debtor Meta Materials Inc		Case Number 24-50792-hlb
1 Name and address of holder of the Equity Interest (the person or entity holding an Equity Interest in the Debtor. Referred to hereinafter as the "Interest holder") HOAI TU 15022 S RAYMOND AVE GARDENA, CA 90247 USA Telephone Number 336-509-0031		<input type="checkbox"/> Check box if you are aware that anyone else has filed a proof of interest relating to your interest. Attach copy of statement giving particulars. <input checked="" type="checkbox"/> Check box if you have never received any notices from the bankruptcy court or the Debtors in this case. <input type="checkbox"/> Check box if this address differs from the address on the envelope sent to you by the Debtors.
NOTE: This form SHOULD NOT be used to make a claim against the Debtor for money owed. A separate Proof of Claim form should be used for that purpose. This form should only be used to assert an Equity Interest in the Debtor. An Equity Interest is any right arising from any capital stock and any equity security in any of the Debtor. An equity security is defined in the Bankruptcy Code as (a) a share in a corporation whether or not transferable or denominated stock or similar security, (b) interest of a limited partner in a limited partnership, or (c) warrant or right other than a right to convert, to purchase, sell, or subscribe to a share, security, or interest of a kind specified in subsection (a) or (b) herein.		RECEIVED AND FILED DEC 27 2024 U.S. BANKRUPTCY COURT MARY A SCHOTT, CLERK COURT USE ONLY
Account or other number by which Interest holder identifies Debtor (last 4 digits only) 535019C16		Check here if this Proof of Interest <input type="checkbox"/> replaces a previously filed Proof of Interest dated _____ <input type="checkbox"/> amends a previously filed Proof of Interest dated _____
2 Name and Address of any person or entity that is the record holder for the Equity Interest asserted in this Proof of Interest ROBINOOD MARKET INC Telephone Number 650 940 2700		3 Date Equity Interest was acquired 1 FOR 100 SPLIT AS 01/28/2024 PURCHASE IN 06/15/20121
4 Total amount of member interest 8181.6		5 Certificate number(s) 86
6 Type of Equity Interest Please indicate the type of Equity Interest you hold. <input checked="" type="checkbox"/> Check this box if your Equity Interest is based on an actual member interest held in the Debtor. <input type="checkbox"/> Check this box if your Equity Interest is based on anything else and describe that interest. Description:		
7 Supporting Documents. Attach copies of supporting documents, such as stock certificates, option agreements, warrants, etc. DO NOT SEND ORIGINAL DOCUMENTS. If the documents are not available, explain. If the documents are voluminous, attach a summary.		
8 Date-Stamped Copy. To receive an acknowledgement of the filing of your Proof of Interest, enclose a stamped, self-addressed envelope and copy of this Proof of Interest.		
9 Signature Check the appropriate box. <input checked="" type="checkbox"/> I am the interest holder. <input type="checkbox"/> I am the interest holder's authorized agent (Attach copy of power of attorney if any). <input type="checkbox"/> I am the trustee, or the debtor, or their authorized agent (See Bankruptcy Rule 3004). <input type="checkbox"/> I am a guarantor, surety, endorser or other codebtor (see Bankruptcy Rule 3005).		
I declare under penalty of perjury that the information provided in this proof of interest is true and correct to the best of my knowledge, information, and reasonable belief.		
Print Name: HOAI TU Title: INDIVIDUAL Company Address and telephone number (if different from notice address above): Telephone number: 336 509 0031 email: HOAITU0209@GMAIL.COM		

Penalty for presenting fraudulent claim is a fine of up to \$500,000 or imprisonment for up to 5 years, or both 18 U S C §§ 152 AND 3571



85 Willow Rd Menlo Park CA 94025
help@robinhood.com

06/16/2021

Hoai Tu Account # 533019616
15022 S Raymond Ave Gardena CA 90247

Transaction Confirmation

Thank you for letting Robinhood Securities LLC (RHS) serve you through Robinhood Financial LLC (RHF).

1 Amounts due for securities transactions must be received on or before the settlement date shown.

2 All orders are received and executed subject to the applicable rules, regulations and customs of the SEC, FINRA, and the exchange or market where the order is entered. The provisions of the Securities Exchange Act of 1934.

3 Failure of customer to notify RHS in writing within five days of the receipt of this document of any concerns constitutes an acceptance of the transaction.

4 For purchase transactions in a margin account, it is agreed that sufficient cash or acceptable collateral will be deposited on or before the settlement date or at such earlier time that payment may be demanded, as is any applicable margin requirements.

5 Securities purchased and securities pledged as margin are or may be hypothecated for the sum due thereon or for a greater sum under circumstances which permit commingling thereof with securities of other customers, all without further notice to the customer.

6 If shares loaned for a short sale are no longer available, RHS reserves the right to decide by random selection which positions will be subject to a buy-in.

7 Upon written request and where available, further details or items herein will be provided including the execution date and time, the counterparty, where acting as agent, the detailed breakdown of fees and the remuneration details, if any, to RHS or RHF for directing orders to select market participants and details of provisions that may cause a call or prepayment.

8 All transactions on this confirmation are presumed to be unsolicited unless noted otherwise.

9 Any Good Till Cancelled (GTC) orders may expire or be terminated by RHS or RHF in their ordinary course of business after the order has remained open for a reasonable period of time. Please contact RHF for more specific details. Until such expiration or cancellation or cancellation by the customer, all open orders are considered good. When entering a substitute order, the responsibility for cancelling the original order rests upon the customer. Therefore, if a customer fails to cancel an existing order transactions resulting from the execution of both the original and new order(s) will be entered into the customer's account.

10 The responsibility to cancel an open order resides with the customer. Any transactions which result from the execution of any order which the customer has not instructed RHF, RHS to cancel will be entered into the customer's account.

The default Cost Basis Election or tax relief method used by RHS for tax preparing is First-In-First-Out (FIFO) for Equities. Please contact RHF if you wish to change the default tax-relief method for your account or specify different tax lots for liquidation.

2 Backup Withholding - if you have not provided us with your correct social security number/tax id number under federal law, you may be subject to a \$50 penalty as well as backup withholding on certain payments.

3 RHS receives remuneration for directing orders to particular broker-dealers or market centers for execution. Such remuneration is considered compensation to the firm. The source and nature in connection with your transactions are available upon written request. RHF then clearing through PHS may share in such payments or may directly receive payment for order flow for certain transactions. Details are available upon written request.

4 If you request the sale of a non-marketable or worthless security, RHF will be the buyer to the transaction in the event a market cannot be located. In such a sale, you are deeming the security worthless and RHF thereby makes no representation regarding the present or future value of these securities. The transaction is irreversible and you will have no further claim to the securities and no claim against RHF for any losses related to the sale.

15 RHF receives payment from a third party for services rendered in distributing new securities offerings.

Accounts carried by Robinhood Securities LLC Member FINRA & SIPC - help@robinhood.com Tax ID 38-4019216

MKT = Market in which transaction was Executed/Cleared

NYSE - New York Stock Exchange
NYSEA - NYSE Alternext
USE - Other US Exchange
MF - Mutual Funds
OP - Options
UTL - Over the Counter/NASDAQ
UND - Underwriting
FOREX - Foreign Exchange
OTH - Other

Buy/Sell Codes

B - Buy or Buy To Open
S - Sell or Sell To Close
BCXL - Cancel Buy
SCXL - Cancel Sell
BTC - Buy To Close
STO - Sell To Open
BTUX - Buy To Close Cancel
STOX - Sell To Open Cancel

Account Types

C - Cash
M - Margin
N - Non-negotiable
S - Short

U/S: Solicitation

U - Unsolicited
S - Solicited

CAP = Capacity in which the firm acted

1 - 2 - 4 - 5 - 8 - As AGENT
3 - As PRINCIPAL, your broker has bought from you or sold to you and may have received a profit or loss on the transaction
6 - As AGENT for both buyer and seller



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15022 S Raymond Ave Gardena CA 90247

Equities/Options	B/S	Trade Date	Settle Date	Acct Type	Price	QTY	Principal	Comm	Tran Fee	Net Amount	MKT	CAP	U/S
Torchlight Energy Resources TRCH CUSIP 89102U103	S	06/16/2021	06/18/2021	M	\$6.0600	789.538805	\$4,663.22	\$0.00	\$0.2	\$4,663.10	OTC	3	U
Alumunc ALT CUSIP 02155H200	B	06/16/2021	06/18/2021	M	\$8.1250	165.517241	\$3,000.00	\$0.00	\$0.00	\$3,000.00	OTC	3	U
Torchlight Energy Resources TRCH CUSIP 89102U103	B	06/16/2021	06/18/2021	M	\$6.1150	0.248569	\$1.52	\$0.00	\$0.00	\$1.52	OTC	3	U
Torchlight Energy Resources TRCH CUSIP 89102U103	P	06/16/2021	06/18/2021	M	\$6.1190	75.508416	\$4,598.48	\$0.00	\$0.00	\$4,598.48	OTC	3	U
Alumunc ALT CUSIP 02155H200	B	06/16/2021	06/18/2021	M	\$19.0380	78.789788	\$1,500.00	\$0.00	\$0.00	\$1,500.00	OTC	3	U
Torchlight Energy Resources TRCH CUSIP 89102U103	B	06/16/2021	06/18/2021	M	\$6.0350	0.700082	\$1.22	\$0.00	\$0.00	\$4.22	OTC	3	U
Torchlight Energy Resources TRCH CUSIP 89102U103	B	06/16/2021	06/18/2021	M	\$6.0383	164.90653	\$995.78	\$0.00	\$0.00	\$995.78	OTC	3	U
Alumunc ALT CUSIP 02155H200	S	06/16/2021	06/18/2021	M	\$6.0600	0.307029	\$4.93	\$0.00	\$0.00	\$4.93	OTC	3	U
Alumunc ALT CUSIP 02155H200	S	06/16/2021	06/18/2021	M	\$6.0300	2.4	\$3,911.32	\$0.00	\$0.05	\$3,911.27	OTC	3	U
Torchlight Energy Resources TRCH CUSIP 89102U103	P	06/16/2021	06/18/2021	M	\$5.8950	0.635284	\$3.74	\$0.00	\$0.00	\$3.74	OTC	3	U
Torchlight Energy Resources TRCH CUSIP 89102U103	B	06/16/2021	06/18/2021	M	\$5.8950	169	\$996.26	\$0.00	\$0.00	\$996.26	OTC	3	U
Total Quantity Bought			1.331.310033				Total Dollars Bought						\$1,100.00
Total Quantity Sold			1.013.815634				Total Dollars Sold						\$8,579.30



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06/21/2021

Hoai Tu Account # 535019616
15022 S Raymond Ave Gardena CA 90247

Transaction Confirmation

Thank you for letting Robinhood Securities, LLC (PHS) serve you through Robinhood Financial, LLC (RHF).

1. Amounts due for securities transactions must be received on or before the settlement date shown.

2. All orders are received and executed subject to the applicable rules, regulations and customs of the SEC, FINRA, and the exchange or market where the order is entered; the provisions of the Securities Exchange Act of 1934.

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9. Any Good Till Canceled (GTC) orders may expire or be terminated by RHF or RHF in their ordinary course of business after the order has remained open for a reasonable period of time. Please contact PHF for more specific details. Until such expiration or cancellation or cancellation by the customer, all open orders are considered good. When entering a substitute order, the responsibility for cancelling the original order rests upon the customer. Therefore, if a customer fails to cancel an existing order, transactions resulting from the execution of both the original and new order(s) will be entered into the customer's account.

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15. RHF receives payment from a third party for services rendered in distributing new securities offerings.

Accounts carried by Robinhood Securities, LLC, Member FINRA & SIPC - help@robinhood.com Tax ID 38-40192 6

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NYSE - New York Stock Exchange
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STOX - Sell To Open Cancel

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Torchlight Energy Resources TRCH CUSIP 89102U103	B	06/21/2021	06/23/2021	M	\$8.9300	300	\$2,679.00	\$0.00	\$0.00	\$2,679.00	OTC	1	U
Ocugen Inc OCGN CUSIP 67577C105	S	06/21/2021	06/23/2021	M	\$6.3028	682.90462	\$4,304.21	\$0.00	\$0.11	\$4,304.10	OTC	3	U
Torchlight Energy Resources TRCH CUSIP 89102U103	B	06/21/2021	06/23/2021	M	\$9.1784	2,790.2902	\$2,000.00	\$0.00	\$0.00	\$2,000.00	OTC	3	U
Indie Semiconductor INDI CUSIP 45569U101	S	06/21/2021	06/23/2021	M	\$8.8950	0.38335	\$1.23	\$0.00	\$0.00	\$1.23	OTC	3	U
Indie Semiconductor INDI CUSIP 45569U101	S	06/21/2021	06/23/2021	M	\$8.8800	495	\$4,395.60	\$0.00	\$0.09	\$4,395.51	OTC	3	U
ChemoCentryx CCXI CUSIP 16383L106	S	06/21/2021	06/23/2021	M	\$12.7700	0.243326	\$3.11	\$0.00	\$0.00	\$3.11	OTC	3	U
ChemoCentryx CCXI CUSIP 16383L106	S	06/21/2021	06/23/2021	M	\$12.7400	100	\$1,274.00	\$0.00	\$0.02	\$1,273.98	OTC	3	U
ChemoCentryx CCXI CUSIP 16383L106	S	06/21/2021	06/23/2021	M	\$12.7400	10	\$127.40	\$0.00	\$0.00	\$127.40	OTC	3	U
ChemoCentryx CCXI CUSIP 16383L106	S	06/21/2021	06/23/2021	M	\$12.7400	100	\$1,274.00	\$0.00	\$0.02	\$1,273.98	OTC	3	U
ChemoCentryx CCXI CUSIP 16383L106	S	06/21/2021	06/23/2021	M	\$12.7400	100	\$1,274.00	\$0.00	\$0.02	\$1,273.98	OTC	3	U
ChemoCentryx CCXI CUSIP 16383L106	S	06/21/2021	06/23/2021	M	\$12.7400	141	\$1,796.34	\$0.00	\$0.03	\$1,796.31	OTC	3	U
Torchlight Energy Resources TRCH CUSIP 89102U103	B	06/21/2021	06/23/2021	M	\$9.0900	0.044004	\$0.40	\$0.00	\$0.00	\$0.40	OTC	3	U
Torchlight Energy Resources TRCH CUSIP 89102U103	B	06/21/2021	06/23/2021	M	\$9.0900	37,579.757	\$341.60	\$0.00	\$0.00	\$341.60	OTC	3	U
Torchlight Energy Resources TRCH CUSIP 89102U103	B	06/21/2021	06/23/2021	M	\$9.0995	402	\$3,658.00	\$0.00	\$0.00	\$3,658.00	OTC	3	U



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Equities/Options	B/S	Trade Date	Settle Date	Acct Type	Price	QTY	Principal	Comm	Tran Fee	Net Amount	MKT	CAP	U/S
Ocugen inc OCCN USIP 67577C105	B	06/21/2021	06/23/2021	M	\$7.0187	712.382634	\$5,000.00	\$0.00	\$0.00	\$5,000.00	OTC	3	U
Total Quantity Bought			1,669.909297	Total Dollars Bought			\$13,679.00						
Total Quantity Sold			1,629.286281	Total Dollars Sold			\$14,449.60						

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[View MMAT](#)

Reverse split

Meta Materials

Account

Individual

Date received

Jun 28, 2021

Split amount

1 for 2

Previous shares

2,617.139226

New shares

1,308

A stock split occurs when a company divides its existing shares to either increase (forward split) or decrease (reverse split) the number of shares it has on the market. As a result, the number of shares you own has changed, but the overall market value of your position remains the same.

To learn more about elite and other





Ringer



History



All accounts ▾

All types ▾

Older

ALT buy -\$3,000.00 >

Jun 16, 2021 165.517241 shares at \$18.13

TRCH sell +\$4,663.22 >

Jun 16, 2021 769.508605 shares at \$6.06

ARVL stop buy >

Jun 16, 2021 · Canceled

ARVL stop buy >

Jun 16, 2021 · Canceled

TRCH buy -\$4,000.00 >

Jun 15, 2021 769.48233 shares at \$5.20

TRCH stop loss sell +\$5,596.10 >

Jun 15, 2021 1,046 shares at \$5.35

DQ buy -\$5,000.00 >

Jun 15, 2021 76.27765 shares at \$65.55



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View MMATQ

meta materials

Account

Individual

Date received

Jan 28, 2024

Split amount

1 for 100

Previous shares

8,181.675382

New shares

81

A stock split occurs when a company divides its existing shares to either increase (forward split) or decrease (reverse split) the number of shares it has on the market. As a result, the number of shares you own has changed, but the overall market value of your position remains the same.

To learn more about splits and other corporate actions, visit our [Help Center](#).

